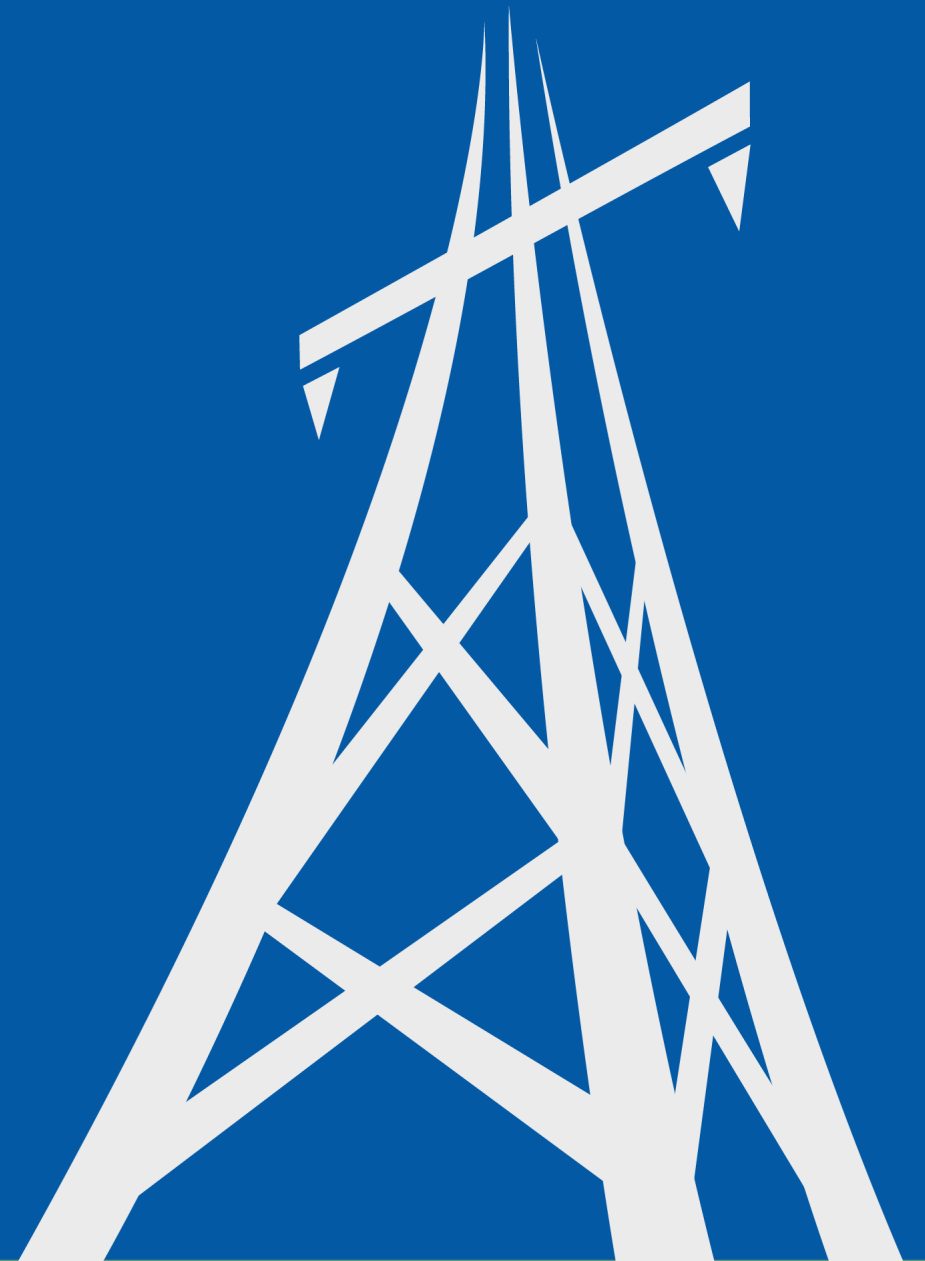


2022 Safety Culture Assessment (SCA) Guidelines

Public Adoption Meeting
Office of Energy Infrastructure Safety
Tuesday March 1, 2022, 2 to 3:30 p.m.



Agenda


- Introduction from Energy Safety Staff
- Public comment on Draft 2022 SCA Guidelines
- SCA requirement, basis for guidelines, overview
- Changes to the SCA Guidelines 2021 to 2022
- Adoption
- Closing remarks



Public Comment

If you wish to comment:

- Press the “raise hand” button on Zoom
 - Participants will be unmuted in order of hands raised
- Dial-in participants need to press #2 to raise hand



SCA Requirement, Basis for Guidelines, Overview

SCA Requirement

- Public Utility Code Section 8389(d)(4):
CPUC shall adopt and approve (by Dec. 1, 2020, and annually thereafter):

“A process for the division to conduct annual safety culture assessments for each electrical corporation.”

Basis for 2022 SCA Guidelines

- Nov. 2020: CPUC adopted initial SCA process in Resolution WSD-011
- Jan. 2021: CPUC published SCA Requirements of Electrical Corporations based on CPUC-approved process
- Dec. 2021: CPUC adopted revised SCA process in Resolution M-4860

SCA Purpose

- Assess safety outcomes over time, “foster continuous and collaborative improvement and learning” (WSD-011 Attachment 4)
- Provide option to companies seeking a safety certification to use SCA report to establish “good standing”

SCA Core Components

- Workforce survey (Large IOUs and SMJUs)
- Management self-assessment (Large IOUs)
 - Summary plan for the coming year
- Interviews to better understand survey and self-assessment (Large IOUs)
- Safety culture objectives & lessons learned (Large IOUs, SMJUs, ITOs)



Changes to the SCA Guidelines from 2021 to 2022

Changes: Clarification of Terms

- Main inputs 2021
 - Workforce survey
 - Self-assessment
 - Supporting documentation
 - Interviews
- Main inputs 2022
 - Workforce survey
 - **Management** self-assessment
 - **Objectives and lessons learned**
 - Interviews, **observational visits**, & supporting documentation

Changes: Workforce Survey

- Presented differently in 2022 SCA Guidelines
- In 2021, 30 statements categorized by:
 - Leadership Influence
 - Workforce Behavior
- In 2022, same 30 statements but categorized by:
 - **Wildfire Safety**
 - **Personal Safety**
 - **Overall Culture**

Changes: Maturity Categories

- Management self-assessment maturity categories in 2021:
 1. As a requirement
 2. As a priority
 3. As a value
 4. Who we are
- In 2022:
 1. **Public Compliance**
 2. **Private Compliance**
 3. **Stewardship**
 4. **Citizenship**

Changes: New Response Option

- New management self-assessment response option to question about which adverse events are investigated using root cause analysis.
- Lowest level in 2021: “Only fatal or serious incidents required to be reported to OSHA or fire incidents required to be reported to CPUC”
- Lowest level in 2022: “Only fatal or serious incidents required to be reported to OSHA, **CPUC reportable ignitions, or incidents required to be reported to Energy Safety (pursuant to Cal. Code Regs. title 14, Section 29301)**”

Changes: Reporting

- New component to “Lessons Learned” includes reporting on steps taken to implement the previous year’s safety culture recommendations

Changes: Templates

- In 2021, template for the submission of safety culture objectives provided in the SCA Guidelines
- In 2022, **templates for reporting lessons learned and implementation of recommendations templates also provided**

Written Public Comments

- Public comments on draft received Feb. 8, 2022, from:
 - Public Advocates Office (Cal Advocates)
 - PG&E
 - SCE
- A reply comment was received Feb. 18, 2022, from Cal Advocates (replying to SCE)

Input from Public

Energy Safety may consider in future:


- More consistent schedule year to year (PG&E)
- Improvements to workforce survey (Cal Advocates)

Energy Safety is unlikely to consider:

- Removing contractors from the workforce survey target population (SCE)

Rationale

- Energy Safety is not changing the workforce survey in 2022 because it needs to be able to compare 2021 & 2022 results
- Energy Safety will continue to include contractor employees in the workforce survey: they play a critical role in wildfire mitigation activities



Adoption of the 2022 SCA Guidelines & Closing Remarks



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